

# Effective Microbiological Testing: Dairy Products

**Delivered By:**

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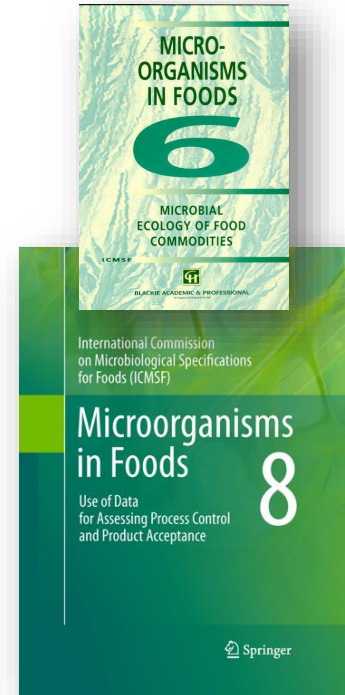
**Corporate Food Safety Microbiologist, Nestlé, Switzerland**



# Overview



- Diversity In Dairy Products/Manufacturing
- Microbial ecology of milk and dairy products
- Dairy product-associated foodborne outbreaks
- On-farm controls
- Microbiological criteria by product type



Quality

# Diversity in Dairy Product Manufacture/Technology



UHT/Sterilization  
Microbiology  
Hurdles

Wet Mix  
Ingredient

Dry mix  
ingredients

Pasteurisation

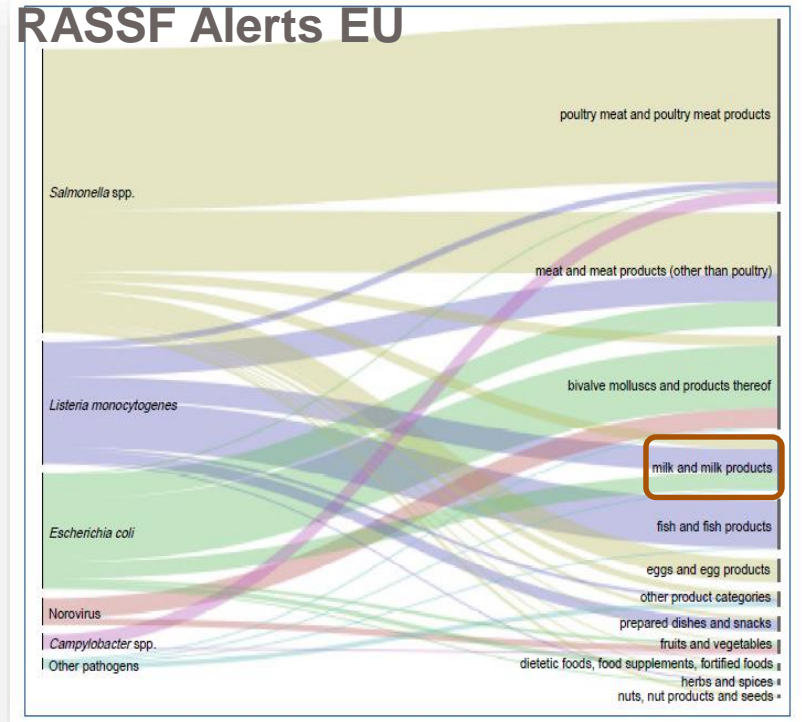
Fermentation



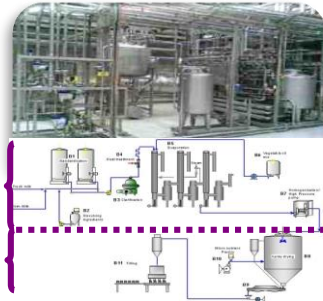
# Epidemiology - Milk and dairy products



- ❖ Milk and milk products are not the highest contributor to foodborne illness.
- ❖ The major cause of milk-borne disease is consumption of raw milk/raw milk products, cheeses and recontaminated processed milk and mixed dairy products such as cream fillings



# Food Safety Microbiology is CHALLENGING



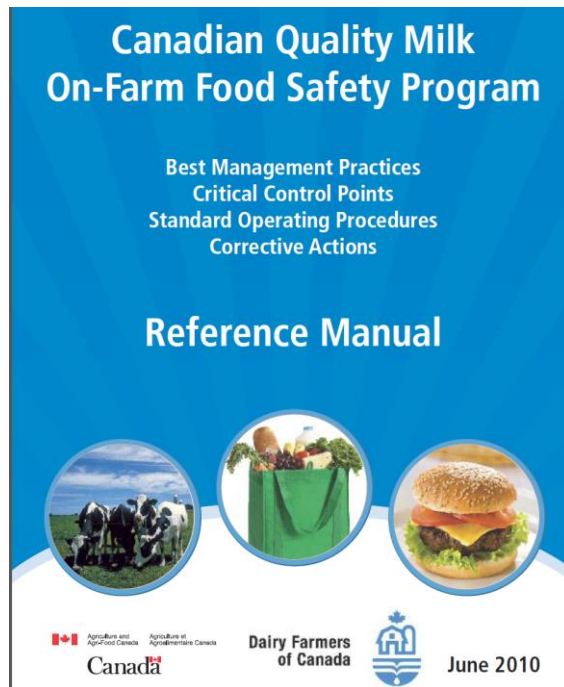
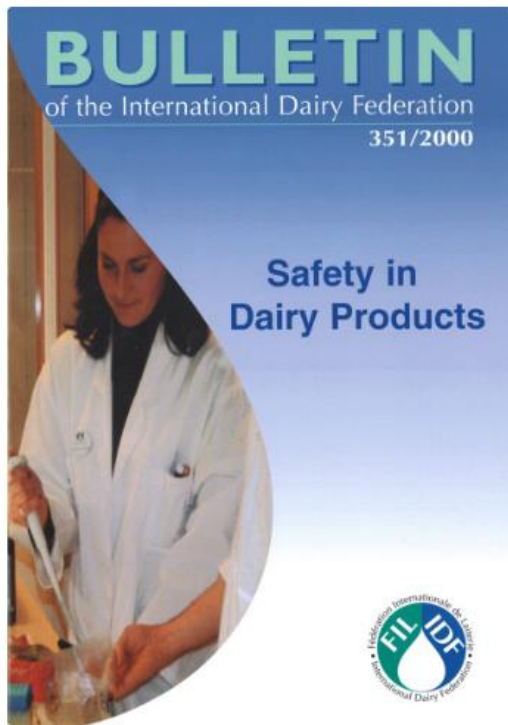
**Reducing the level of contamination  
of the raw material/l gradient (e.g., heat)**

$$H_0 - \Sigma R + \Sigma I \leq FSO$$

**Reducing the  
concentration/prevalence  
of intrinsic contamination**

**Minimise the chance of  
contamination of reconstituted  
formula during preparation**

# Upstream milk supply practices are vital for Dairy product safety and quality



# Pathogens in milk and dairy products

- ❖ Raw milk - numerous
- ❖ **Cheeses** - *L. monocytogenes*, Shiga-toxin E.Coli (STEC), *S. aureus*, *Salmonella* and *Campylobacter*
- ❖ Raw milk butter and cream - *L. monocytogenes*, STEC, *S. aureus*
- ❖ *Milk powders* - *Salmonella*, *S. aureus*, *B. cereus*, *Cronobacter spp.*
- ❖ In endemic areas, raw milk dairy products - *Brucella spp.* and *Mycobacterium bovis*
- ❖ Potential hazards - *Coxiella burnetii* and *Mycobacterium paratuberculosis*



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Key pathogenic bacteria associated with dairy foods: On-farm ecology and products associated with foodborne pathogen transmission

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Quality



# Dairy-Related Illness/hospitalisations from US Outbreaks 2009-2014



Outbreaks associated with milk and cheese consumption, N = 87

Pathogen	Pasteurized			Unpasteurized		
	Outbreaks	Illnesses	Hospitalizations	Outbreaks	Illnesses	Hospitalizations
STEC	0	0	0	14	99	42
<i>Salmonella</i> spp.	0	0	0	8	83	29
<i>Listeria monocytogenes</i>	10	100	87	1	1	1
<i>Campylobacter</i> spp	1	2	0	53	465	56
<b>Overall</b>	11	102	87	76	648	128



# Listeriosis Outbreaks Linked to Cheese



Food	Year	# ill	# Hospitalized	# Deaths	Location
Soft cheese (Karoun)	2010/2015	30	28	3 (1 fetal)	USA (10 states)
Fresh curds/Hispanic style soft cheese	2014	5	4	1	USA (4 states)
Soft and semi-soft cheese (Crave Brothers)	2013	6	6	1 (1fetal)	USA (5 states)
Hispanic style soft cheese (Roos Foods)	2013	8	7	1	USA (2 states)
Jindi cheese	2013	25		3 (1 fetal)	Australia
Ricotta Salata cheese	2012	23	21	5	USA (14 states)
Latin-style fresh cheese	2012	2 (1 pregnant and 1 baby)			Spain
Blue-veined cheese (unpasteurized)	2011	15	1	1	USA
Hard cheese	2011	12	12		Belgium
Fresh cheese	2010/2011	40		13	Portugal
Camembert cheese	2010	17		3	Norway

# Dairy Listeriosis Outbreaks - Causes



- ❑ Cross-contamination of cheeses at retail and consumer home an important control point
- ❑ Two of the cheese-related *Listeria* outbreaks identified **knives and cutting boards** as potential sources of contamination
- ❑ Risks of operating a dairy plant in a farm environment; potential for transfer of *Lm* from the farm environment to the plant **via shared toilet facilities**
- ❑ **Failure to protect water sources from wild birds;** multi-barrier failure in the water disinfection system
- ❑ Serving high-risk cheeses to patients in hospitals



# Observations from Ice Cream Listeria Outbreak



**10 cases, 3 deaths**



Quality

# Salmonella, S. aureus, Cronobacter in heat-treated Dairy products



## Powdered Infant Formula as a Source of *Salmonella* Infection in Infants

Sarah M. Cahill,<sup>1</sup> I. Kaye Wachsmuth,<sup>2</sup> Maria de Lourdes Costarrica,<sup>1</sup> and Peter Karim Ben Embarek<sup>3</sup>

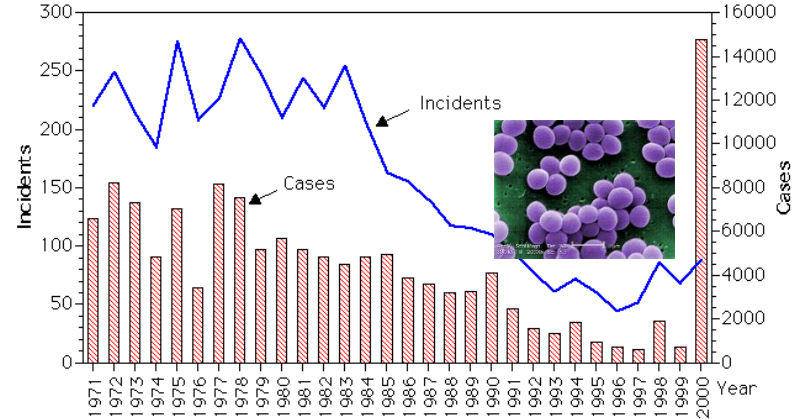
<sup>1</sup>Food and Agriculture Organization of the United Nations, Rome, Italy; <sup>2</sup>International Public Health Consultant, Private Practice, Deland, Florida; and <sup>3</sup>World Health Organization, Geneva, Switzerland

Powdered infant formula is not sterile and may be intrinsically contaminated with pathogens, such as *Salmonella enterica*, that can cause serious illness in infants. In recent years, at least 6 outbreaks of *Salmonella* infection in infants that have been linked to the consumption of powdered infant formula have been reported. Many of these outbreaks were identified because the *Salmonella* strains were unique in some way (e.g., a rare serotype) and a well-established *Salmonella* surveillance network, supported by laboratories capable of serotyping isolates, was in place. Another common feature of the outbreaks was the low level of salmonellae detected in the implicated formula (salmonellae may be missed in routine testing). These outbreaks likely represent only a small proportion of the actual number of *Salmonella* infections in infants that have been linked to powdered infant formula. Managing this problem requires a multidimensional approach in which manufacturers, regulators, and caregivers to infants can all play a role.

*Clinical Infect. Dis.* 46: 2008

## Milk/Milk Powder and *S. aureus*

Figure 1. *Staphylococcus* food poisoning in Japan, 1971-2000



(Statistics of Food Poisoning in Japan, Ministry of Health, Labour and Welfare)

**IASR**

Infectious Agents Surveillance Report



Quality

# Salmonella in powdered milk products



**Table 2. Salmonellosis outbreaks during the period 1985–2005 that have been linked to powdered infant formula (PIF).**

<i>Salmonella</i> serotype	No. of infants affected	Vehicle	Location	Year	Organism isolated from PIF	PIF implicated by epidemiologic study	Reference
Ealing	48	PIF	United Kingdom	1985	Yes	Yes	[19]
Tennessee	≥3	PIF	United States, Canada	1993	Yes	Yes	[20]
Virchow	≥48	PIF	Spain	1996	Yes	Yes	[21]
Anatum	17	PIF	United Kingdom, France	1996–1997	No	Yes	[22]
London	30	PIF	Korea	2000	Yes (open package only)	Yes	[23]
Agona	141	PIF	France	2004–2005	Yes	Yes	[24]
Total	≥287	...	...	...	...	...	...

*Cahill et al., 2008, Clinical Infectious Disease*

# Salmonella outbreak in infant formula - Lactalis (France) 2017-18



International edition

## The Guardian

### Lactalis to withdraw 12m boxes of baby milk in salmonella scandal

Emmanuel Besnier, chief executive of French dairy giant, says all products from contaminated factory will be recalled



2005

2017/18 - 40 babies ill in different Countries

2006

Factory had same *S. agona* strain  
In factory for 12 years

Whole Genome Sequencing proved link  
to all isolates including  
clinical (baby) isolates

Factory focus on end point testing?

2017

French Authorities will now inspect all  
Dairy powder/IF factories in France



# Cronobacter outbreaks linked to PIF

Korean J. Food Sci. An. 2018 April 38(2):376~390



## Codex Alimentarius CAC/RCP 21-1979

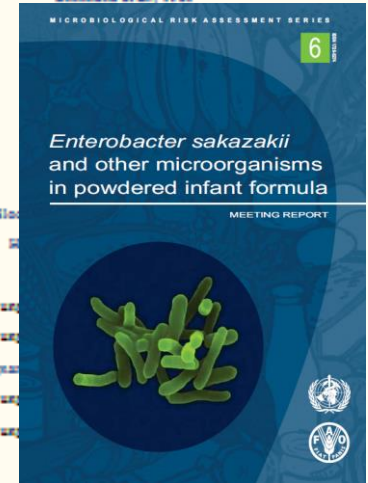
	n	c	m	M
<b>Aerobic mesophilic counts</b>	<b>5</b>	<b>2</b>	<b>10<sup>3</sup></b>	<b>10<sup>4</sup></b>
<b>Coliforms</b>	<b>5</b>	<b>1</b>	<b>&lt;3</b>	<b>20</b>
<b>Salmonella</b>	<b>60</b>	<b>0</b>	<b>0</b>	



## Codex Alimentarius CAC/RCP 66-2008

	n	c	m	M
<b>Aerobic mesophilic counts</b>	<b>5</b>	<b>2</b>	<b>500</b>	<b>5000</b>
<b>Enterobacteriaceae (10g)</b>	<b>10</b>	<b>2</b>	<b>0</b>	
<b><i>E. sakazakii</i> (10g)</b>	<b>30</b>	<b>0</b>	<b>0</b>	
<b>Salmonella (25g)</b>	<b>60</b>	<b>0</b>	<b>0</b>	

Location	Year	Cease/Death	References
St. Albans, England	1958	2/2	Urmowyl and Franklin, 1961
Denmark	1965	1/None	Jakob et al., 1965
Macon, GA, USA	1979	1/Not mentioned	Monroe and Tiff, 1979
Indianapolis, IN, USA	1981	1/None	Kleinman et al., 1981
The Netherlands	1982	5/None	Mayjone et al., 1982; Mayjone, 1985; Mayjone and Kolico, 1990
Athens, Greece	1985	1/Not mentioned	Arsoni et al., 1985
Reykjavik, Iceland	1986-1987	2/1	Storing et al., 1989; Clark et al., 1990
Boston, MA, and New Orleans, LA, USA	1987	2/Not mentioned	Willie and Robinson, 1988
Memphis, TN, USA	1988	4/Not mentioned	Simmons et al., 1989
Baltimore, MD, USA	1990	1/Not mentioned	
Cincinnati, OH, USA	1990	1/Not mentioned	
Israel	1992, 1995, 1997, 1998	4/Not mentioned	
Boston, MA, USA	1995/1996	5/4	
Belgium	1998	12/2	
Wintone Salem, NC, USA	2000	1/None	
Israel	1997-2000	5/None	Blo...
Kearsville, TN, USA	2001	10/1	R...
Tennessee, USA	2002	1/1	
Wisconsin, USA	2002	1/Not mentioned	CDC and...
USA	2002	6/Not mentioned	CDC and...
France	2004	2/Not mentioned	Colgan...
USA	2004	2/Not mentioned	CDC and...
USA	2005	2/Not mentioned	CDC and...
Canada	2007	2/Not mentioned	
New Mexico, USA	2008	2/None	CDC, 2008
Quetzaro, Mexico	2010	2/None	Florez et al., 2011
Florida, Illinois, Missouri, and Oklahoma, USA	2011	4/2	CDC, 2012
Not mentioned	2012	1/None	Wrogo and Lee, 2012
China	2017	2/1	Cui et al., 2017



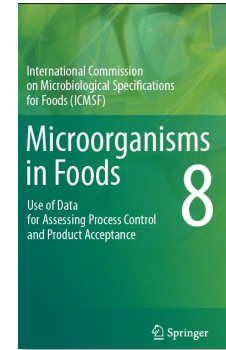
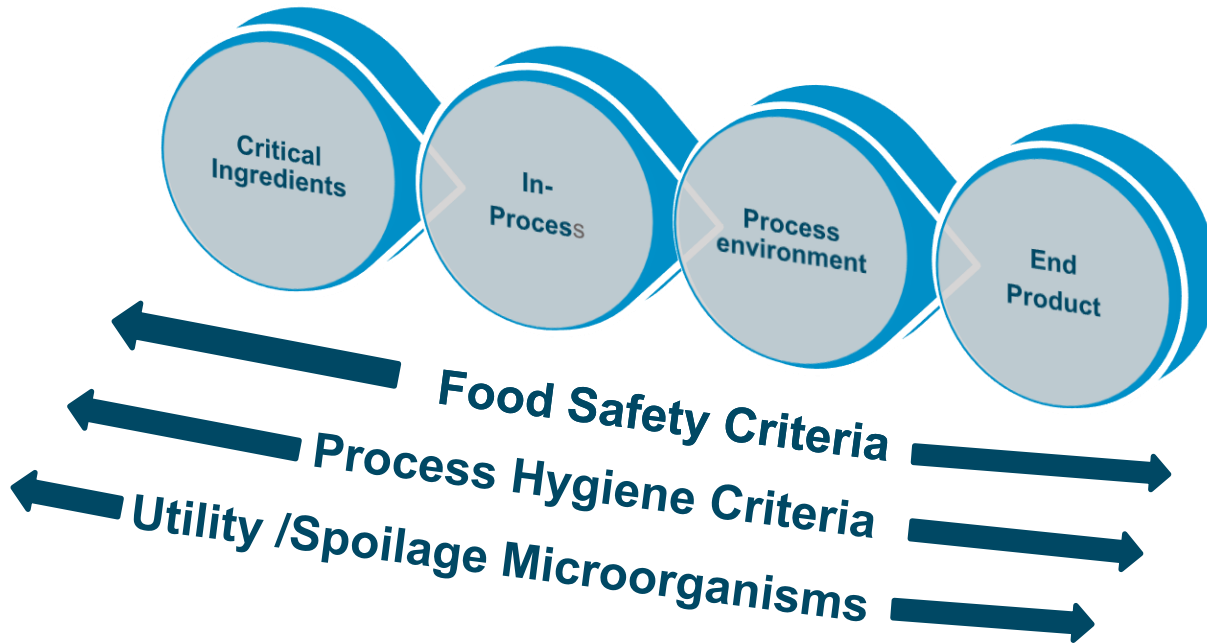


# ICMSF - Book 8 Microbiological criteria



## Chapter 23 - Milk and Dairy Products

➤ Use of data for assessing process control and product acceptance



- 1) Processed fluid milk
- 2) Ice cream
- 3) Dried dairy products

# ICMSF - Book 8 Processed Liquid Milk



**Table 23.2** Testing of processed fluid milk products for microbiological safety and quality

Relative importance	Useful testing
Critical ingredients	Low Testing for vegetative pathogens or indicators is only useful to verify that ingredients have been manufactured applying GHP.
	Medium For sterilized or UHT products, testing for mesophilic and or thermophilic spore formers is useful for critical ingredients and in particular if the heat treatments applied are at the lower end of the scale. Typical industry standards are $10-10^2$ CFU/g.
In-process	Low Routine in-process testing is not recommended. It is important for trouble-shooting to identify potential sources of contamination. Such investigative sampling should include critical steps of the processing line such as the plate heat exchangers, fillers, and intermediate storage tanks.
Processing environment	Low Routine testing of the environment for vegetative and spore forming pathogens or spoilage microorganisms is not recommended. It can, however, be useful for trouble-shooting to identify potential sources of contamination (e.g., filter units, areas of the filling chamber or the fillers themselves).
Shelf life	Medium For refrigerated products with extended shelf life (>17 days), shelf life testing may be useful to identify potential issues (see text)



Quality

# ICMSF - Book 8 Processed Liquid Milk



**Table 23.2** Testing of processed fluid milk products for microbiological safety and quality

Relative importance	Useful testing						
End product Low/High	Low for pasteurized products, high for sterilized or UHT products, for which testing and trend analyses to assess the performance of the line and detection of major deviations is recommended.						
			Sampling plan & limits/mL*				
Product	Microorganism	Analytical method <sup>a</sup>	Case	n	c	m	M
Pasteurized milk <sup>b</sup>	Enterobacteriaceae	ISO 21528	5	5	2	<1	5
Sterilized or UHT products	Presence/absence tests for spoilage microorganisms	Incubate at 30 and 55°C (if suitable) for 10-14 and 5-7 days, respectively. Destructive and non-destructive methods	Fixed numbers of samples up to 100% of batches depending on product type (see text).				

## Some Regulatory Values APC?

Country	Micro	n	c	m	M
Aus	E. coli	5	1	1	10
Can	Coliform	5	2	1	10
EU	Eb	5	2	1	5
Ind	Coliform	5	0	Abs/0.1ml	

# ICMSF -Book 8 Ices/Ice Cream



	Relative importance	Useful testing
<b>Critical ingredients</b>	High	It is important to develop good supplier relationships for <b>critical dry mix ingredients</b> to ensure their safety. Requirements for such ingredients should be equivalent to those for finished products to ensure compliance. Depending on the confidence level of the supplier testing is performed either for acceptance or as monitoring.
<b>In-process</b>	High	Routine in-process testing is recommended at critical steps of the process. Testing for Enterobacteriaceae provides important information on the hygiene status of processing lines and levels exceeding those established for the finished product should trigger testing for <i>Salmonella</i> .
<b>Processing environment</b>	Low	In cases where regulatory requirements exist, testing of environmental samples for <b>L. mono (absence in the samples taken) is recommended</b> . <b>Listeria spp. can be used as a hygiene indicator</b> – while absence is certainly the target, low levels up to 10 CFU/g may be acceptable, but need to be interpreted according to observed trends over time. <b>Testing for Enterobacteriaceae is not recommended with the exception of areas maintained dry</b> (suggested target values: 10 <sup>2</sup> –10 <sup>3</sup> CFU/g).



Quality

# ICMSF - Book 8 Ices/Ice Cream

	Relative importance	Useful testing					
<b>End product</b>	High	Testing for Enterobacteriaceae provides important information on the hygiene status of processing lines. High levels may then trigger investigative sampling for pathogens. Testing for <i>Salmonella</i> can be limited to verification, as long as in-process and environmental results show no deviations.					
				Sampling plan & limits/g/*25g			
		Microorganism	Case	n	c	m	M
	High	Enterobacteriaceae	2	5	2	10	100
	Low	Salmonella	11	10	0	0	-

## Some Regulatory Values

Country	Micro	n	c	m	M
EU	Eb	5	1	10	100
	APC	5	2	10 <sup>5</sup>	5x10 <sup>5</sup>
	Sal & L.mono	5	0	Abs & <100/g	
Ind	Coliform	5	3	10	100
	APC	5	3	10 <sup>5</sup>	2x10 <sup>5</sup>
	Sal & L. mono	5	0	Abs(25g) & Abs/g)	



Quality

# ICMSF - Book 8 Dairy Powders/Powdered Infant Formula



		<b>Useful testing</b>
<b>Critical ingredients</b>	High	Develop good supplier relationships for critical dry mix ingredients Requirements for such ingredients need to be equivalent to those for finished products to ensure its compliance..
<b>In-process</b>	High	Test product residues at critical operations and intermediate product for <i>Salmonella</i> and Enterobacteriaceae. Typical guidance levels: <ul style="list-style-type: none"> <li>• Enterobacteriaceae – same requirements as finished products</li> <li>• <i>Salmonella</i> – absent in any of the samples</li> </ul>
<b>Processing environment</b>	High	Test for <i>Salmonella</i> and Enterobacteriaceae in relevant areas. Typical guidance levels: <ul style="list-style-type: none"> <li>• Enterobacteriaceae – 100 CFU/g or sample</li> <li>• <i>Salmonella</i> – absent</li> </ul>
<b>End product</b>	High	Test for indicators for on-going process control and trend analysis. If APC's are consistently much lower, then internal limits should be adjusted accordingly.



	Product	Microorganism	Case	Sampling plan & limits/g			
				n	c	m	M
High	Dry milk powders	APC	2	5	2	10 <sup>4</sup>	10 <sup>5</sup>
High	Dry milk powders	Enterobacteriaceae	5	5	2	<3	9.8



Quality

# Example: Dried Dairy Products *(continued)*

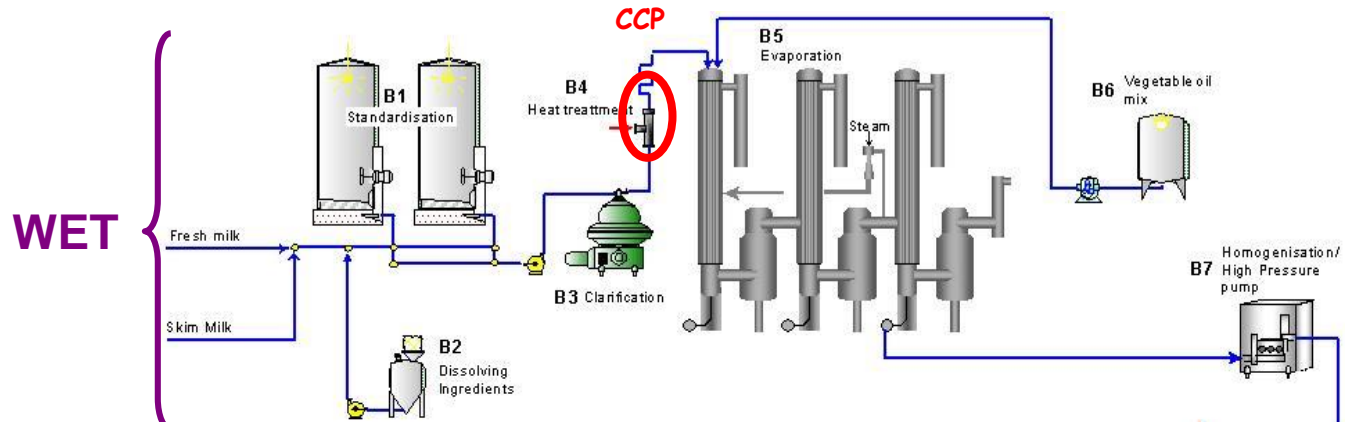


	Relative importance	Useful testing
<b>End product</b>	Low to High	When in-process and environmental results show negative results, testing of smaller numbers of samples for verification is usually sufficient. However, testing end products for Salmonella for lot acceptance is relevant when environmental data indicate potential for contamination or when effectiveness of control measures seems impaired (e.g. construction, wet cleaning).

Country	Micro	n	c	m	M
EU	APC	5	2	1x10 <sup>4</sup>	5x10 <sup>4</sup>
	Eb	5	0	10	
	Salmonella	5	0	Abs (25g)	
	S. aureus	5	2	10	100
Ind (Process ed)	APC	5	2	2.5x10 <sup>4</sup>	5x10 <sup>4</sup>
	Coliform	5	2	10	50
	Sal	5	0	Abs(25g)	
	S. aureus	5	2	10	100

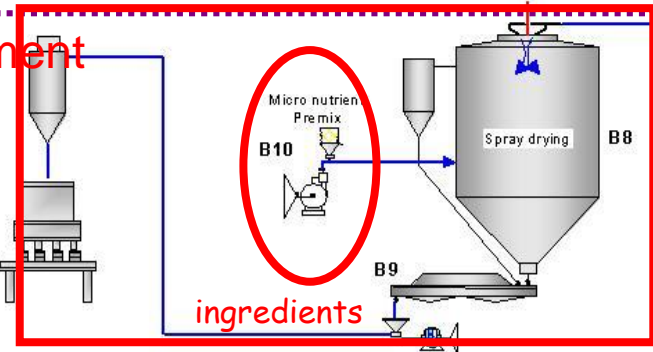


# ICMSF - Book 8 Powdered Infant Formula



line & environment

**DRY**



Examples

- Lactose
- Sucrose
- Starch
- Lecithin
- Oils
- Vitamins
- Trace Elements
- Maltodextrin

	n	c	m	M
Aerobic mesophilic counts	5	2	500	5000
Enterobacteriaceae (10g)	10	2	0	
<i>E. sakazakii</i> (10g)	30	0	0	
<i>Salmonella</i> (25g)	60	0	0	



# Microbial Sampling In Dairy Products: Summary



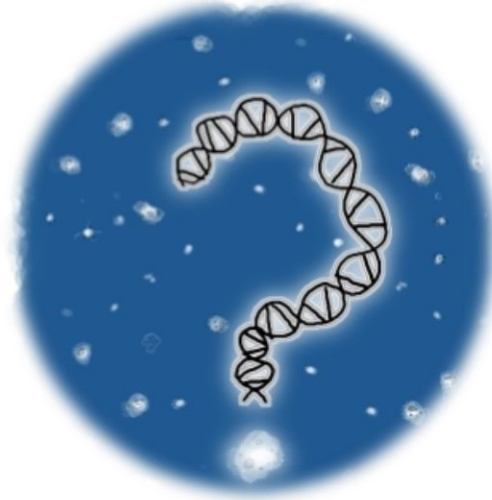
- Product Testing has severe limitations - Cannot test safety “into” products
- Focus on HACCP principles and associated PreRequisite Programmes (PRPs) (Zoning, sanitation, supplier management, training, etc)
- Focus on process control preferred
- Apply good Environmental monitoring at line and environmental samples
- Apply proper Root-cause analysis; eliminate biofilms/harbourage sites/poor hygienic design

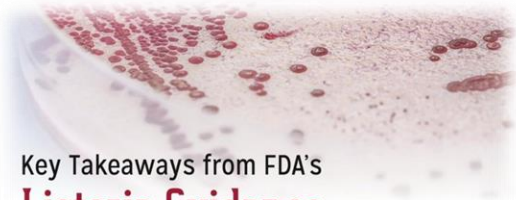


Quality



# QUESTIONS





Key Takeaways from FDA's  
**Listeria Guidance**  
for Ready-to-Eat Foods

## Seek and Destroy Approach

- **Environmental Monitoring Programs**  
(c.f. Pathogen & hygiene monitoring programmes)

- Personnel Practices
- Plant Design
- Equipment
- Cleaning/Sanitation
- Raw materials & ingredients



- Process control based on formulation
- Listericidal process control
- Storage Practices
- Time/Temperature controls

# ICMSF - Book 8 Cheeses




	Relative importance	Useful testing
<b>Critical ingredients</b>	High	<b>Raw milk cheese (RMC)</b> only: Good supplier relationship is important, targeting the absence of <i>Salmonella</i> , STEC and <i>L. monocytogenes</i> (Lm) or other pathogens that may survive cheese making.
<b>In-process</b>	High  High to low	Monitor pH during acidification of the curd to detect slow fermentation. In-process testing for <b><i>S. aureus</i> may be relevant if acidification does not proceed as anticipated.</b> For cheeses that support the growth of Lm and for RMC, testing residues and product contact surfaces may be important to verify the effectiveness of the preventive measures implemented. Pathogens of concern vary by cheese type. <b>Typical guidance levels: Lm &amp; Salmonella – absent</b>
<b>Processing environment</b>	High to low	Significant hazards and routes of contamination vary by type of cheese, and testing the processing environment may be useful to assess the effectiveness of control measures taken. If appropriate, typical guidance levels are: <i>Lm</i> & <i>Salmonella</i> – absent
<b>Shelf-life</b>	Low	Testing may be conducted to determine the fate of pathogens during ripening and storage of cheese. Routine testing, however, is not recommended.



Quality

# ICMSF - Book 8 Cheeses



	Relative importance	Useful testing
<b>End product</b>	High 	Testing for <i>E. coli</i> or <i>S. aureus</i> is useful to verify process control and hygiene conditions for certain cheese types. Upper limits (M) may vary depending on the extent of heat-treatment, but high levels may trigger investigative sampling for pathogens, including STEC, or staphylococcal enterotoxins.

## Some Regulatory Values

Country	Micro	n	c	m	M
Health	<i>E. coli</i>	5	2	100	1000
Canada	<i>S. aureus</i>	5	2	100	1000
(Unpast)	Sal & L.mono	5	0	S- Abs & L.mono <100/g(or abs/25g)	
Ind	Coliform	5	0	10	100
(Process	APC	5	2	2.5x10 <sup>4</sup>	5x10 <sup>4</sup>
ed)	Sal & L. mono	5	0	Abs(25g) & Abs(25g)	
	<i>E. coli</i>	5	0	Abs/g	



Quality

# ICMSF - Book 8 Cheeses



Relative importance	Product	Microorganism	Case	Sampling plan & limits/g			
				n	c	m	M
High	Fresh cheese	<i>S. aureus</i>	8	5	1	10	10 <sup>2</sup>
High	Raw milk cheese	<i>S. aureus</i>	7	5	2	10 <sup>3</sup>	10 <sup>4</sup>
Low	Cheese from mildly heated milk or ripened	<i>S. aureus</i>	7	5	2	10 <sup>2</sup>	10 <sup>4</sup>
Medium	Cheese made from pasteurized milk	<i>E. coli</i>	4	5	3	10	10 <sup>2</sup>
Low	Cheese: No growth	<i>L. monocytogenes</i>	NA	5	0	10 <sup>2</sup>	-
				Sampling plan & limits/25g			
				n	c	m	M
High	Cheese: Growth supported	<i>L. monocytogenes</i>	NA	5	0	0	-
Medium or low	Cheese from raw or mildly heat-treated milk	<i>Salmonella</i>	10	5	0	0	-

# Cronobacter outbreaks linked to PIF



Location	Cases	Comments	Reference
Iceland	3; 1 death	2 normal term infants; 1 Down's	Biering et al., 1989
Tennessee	4; 3 sepsis, 1 bloody diarrhea	Cs; 8 cfu/100g	Simmons et al., 1989
Belgium	12 (all birth weights < 2000g)	6/12 with NEC positive for Cs	Van Acker et al., 2001
Tennessee	9	1 confirmed, 2 suspect, 6 colonized	Himelright et al., 2001
Israel	2	Cs isolated from stools of 3 asymptomatic infants	Bar-Oz et al., 2001



Quality