



# Microbiological Testing: Vegetables/Produce

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# What is Produce?

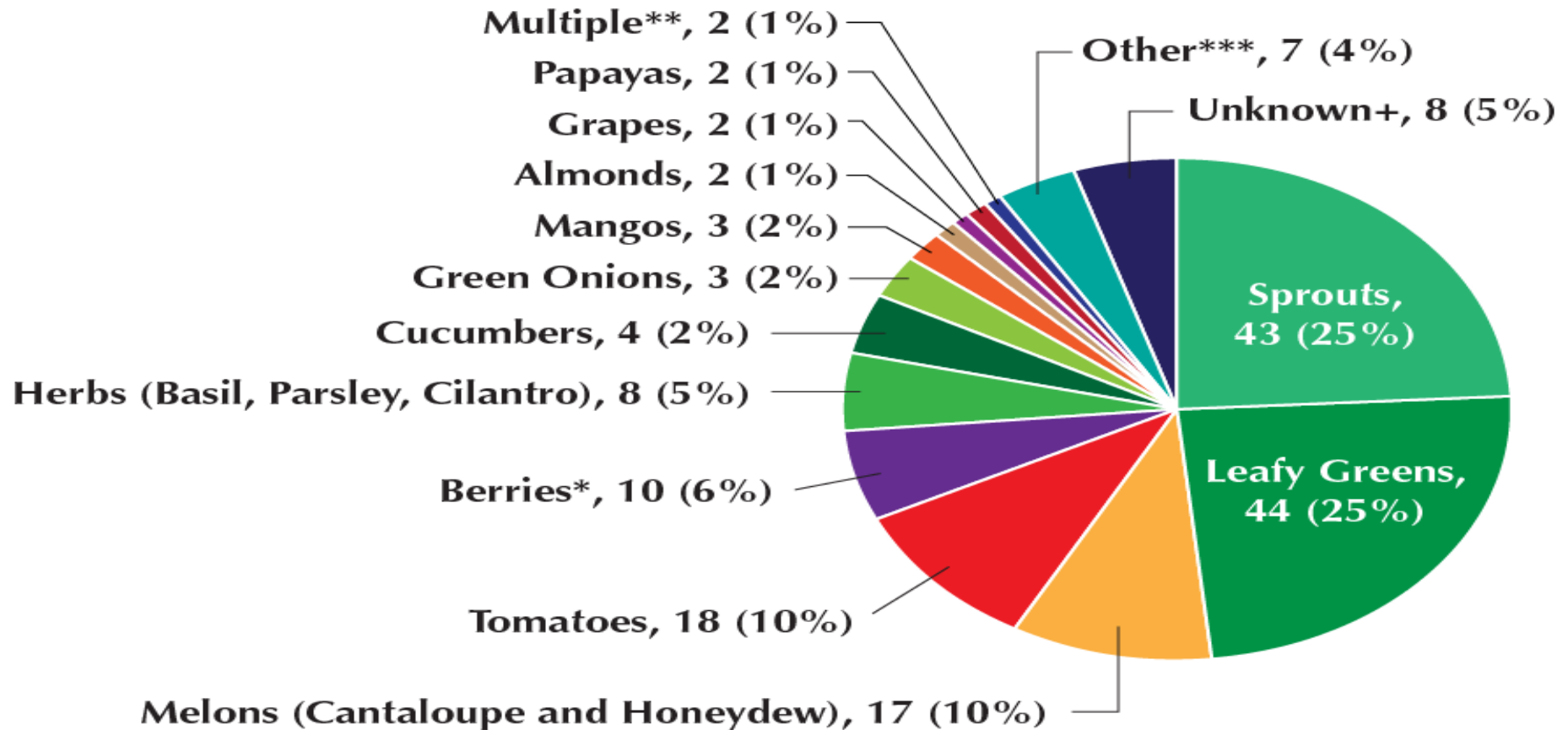
- Foods, other than grains, nuts, and some legumes, derived from plants
  - Vegetables
  - Fruits
  - Certain fungi
  - Sprouted seeds



- Important part of human diet as source of nutrients, fibre and vitamins
- Consumption of ***produce and their products*** increased significantly in many countries in recent years

# Outbreaks Associated with Produce - US

**FDA Outbreaks Linked to Produce Contamination Likely Prior to Retail: 1996–2014**



Food Category	Outbreaks	Illnesses
<b>Aquatic Animal</b>	<b>344</b>	<b>2,288</b>
<b>Land Animal</b>	<b>565</b>	<b>13,709</b>
Dairy	136	1,639
Eggs	36	2,470
Beef	106	1,934
Chicken	136	3,114
<b>Plant</b>	<b>334</b>	<b>9,746</b>
Sprouts	21	766
Root and underground vegetables	20	383
Seeded Vegetables	44	2,572
Herbs	7	476
Vegetable row crops	81	2,420
Fruits	78	2,420

# Surveillance of Foodborne Disease – US 2009-15

Dewy-Mattia, D. et al., 2018  
Surveill Summ 2018; 67:1-11



# Top 5 pathogen-food category pairs resulting in outbreak-associated illnesses – US 2009-15

Etiology	Food Category	No. Outbreaks	No. Illnesses	No. Hospitalizations	No. Deaths
<i>Salmonella</i>	Eggs	31	2,422	41	1
<b><i>Salmonella</i></b>	<b>Seeded Vegetables</b>	<b>25</b>	<b>2,203</b>	<b>419</b>	<b>7</b>
<i>Salmonella</i>	Chicken	49	1,941	372	0
<i>Salmonella</i>	Pork	43	1,539	206	3
<i>Campylobacter</i>	Dairy	60	917	51	1



# Top 5 pathogen-food category pairs resulting in outbreak-associated hospitalizations – US 2009-15

Etiology	Food Category	No. Outbreaks	No. Illnesses	No. Hospitalizations	No. Deaths
<b><i>Salmonella</i></b>	<b>Seeded vegetables</b>	<b>25</b>	<b>2,203</b>	<b>419</b>	<b>7</b>
<i>Salmonella</i>	Chicken	49	1,941	372	0
<b><i>Salmonella</i></b>	<b>Fruits</b>	<b>24</b>	<b>838</b>	<b>227</b>	<b>6</b>
<i>Salmonella</i>	Pork	43	1,539	206	3
<b><i>L. monocytogenes</i></b>	<b>Fruits</b>	<b>3</b>	<b>184</b>	<b>179</b>	<b>41</b>

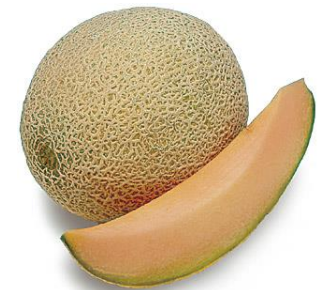
# Top 5 pathogen-food category pairs resulting in outbreak-associated deaths – US 2009-15

Etiology	Food Category	No. Outbreaks	No. Illnesses	No. Hospitalizations	No. Deaths
<b><i>L. monocytogenes</i></b>	<b>Fruits</b>	<b>3</b>	<b>184</b>	<b>179</b>	<b>41</b>
<i>L. monocytogenes</i>	Dairy	14	106	70	14
<b><i>Salmonella</i></b>	<b>Seeded vegetables</b>	<b>25</b>	<b>2,2-3</b>	<b>419</b>	<b>7</b>
<b><i>Salmonella</i></b>	<b>Fruits</b>	<b>24</b>	<b>828</b>	<b>227</b>	<b>6</b>
<b><i>L. monocytogenes</i></b>	<b>Vegetable row crops</b>	<b>2</b>	<b>29</b>	<b>29</b>	<b>6</b>



# Notable Produce Outbreaks

- USA – August – October 2011
- Whole Cantaloupe
- *Listeria monocytogenes*
  - States – 28
  - Case Count – 147
  - Hospitalizations – 143
  - Deaths – 33
- At the time, most deadly outbreak in US history
- First Lm outbreak associated with whole produce
- Outbreaks strains found in packinghouse, not field





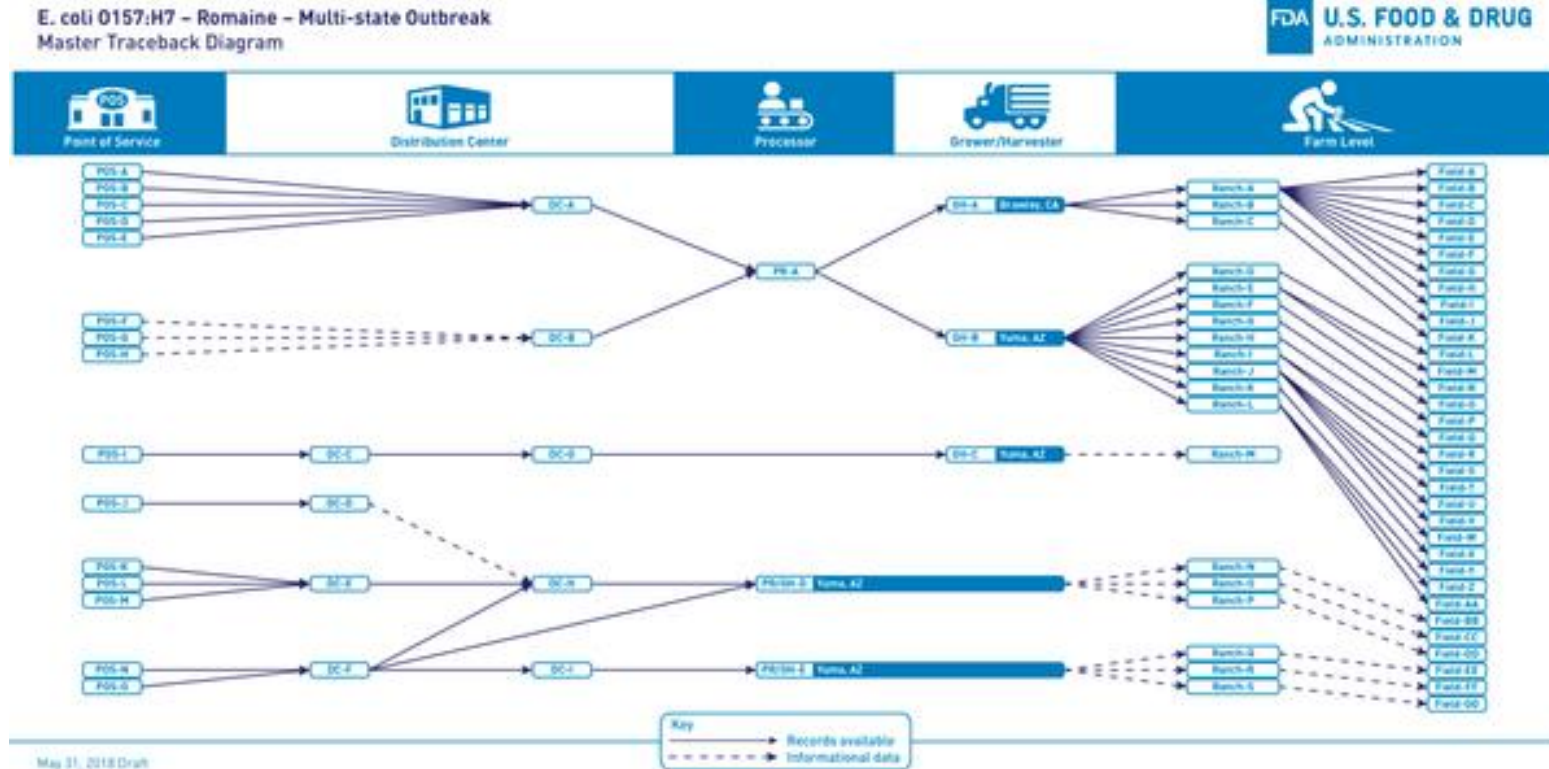
# Notable Produce Outbreaks

- EU – 2013
- Mixed berry products
- Hepatitis A
  - 12 European countries
  - At least 1,444 cases
  - No Deaths
- Two suspected origins
  - Single point source
  - High risk practice during freezing



# Notable Produce Outbreaks

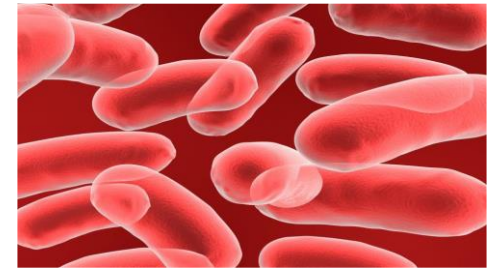
- US – March-June, 2018
- Romaine Lettuce
- *E. coli* O157:H7
  - States – 36 (and Canada)
  - Case Count – 210
  - Hospitalizations – 96
  - HUS - 27
  - Deaths – 5
- Traced back to a growing region, not an individual farm
- Strain found in canal waters and a nearby feedlot



# Microorganisms of Concern

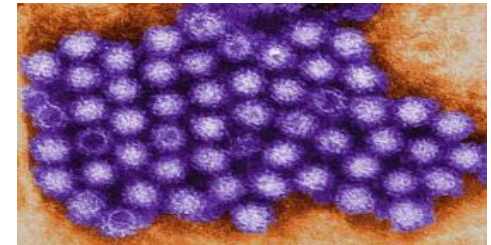
- Bacteria

- *Salmonella*, toxigenic *E. coli* (EHEC), *Shigella*,  
*Listeria monocytogenes*, *Yersinia pseudotuberculosis*,  
*Clostridium botulinum* (low acid juices)



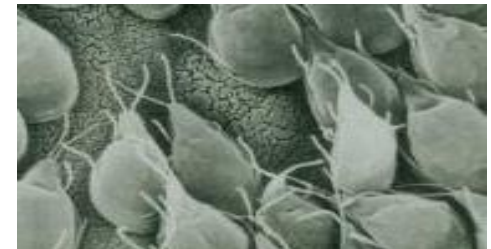
- Viruses

- Norovirus, Hepatitis A



- Protozoa/Parasites

- *Giardia lamblia*, *Cryptosporidium parvum*,  
*Cyclospora cayetanensis*, *Toxoplasma gondii*,  
*Fasciola hepatica*



# International Documents

## CODEX ALIMENTARIUS

INTERNATIONAL FOOD STANDARDS



Food and Agriculture  
Organization of  
the United Nations



World Health  
Organization

E-mail: [codex@fao.org](mailto:codex@fao.org) - [www.codexalimentarius.org](http://www.codexalimentarius.org)

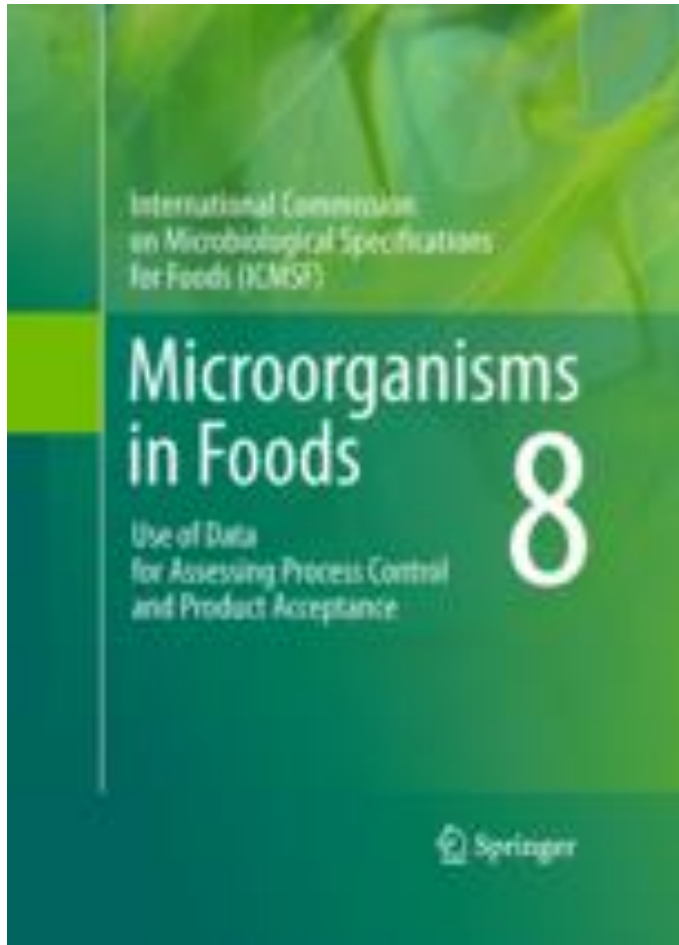
### CODE OF HYGIENIC PRACTICE FOR FRESH FRUITS AND VEGETABLES

**CXC 53-2003**

**Adopted in 2003. Revised in: 2010 (new Annex III for fresh leafy vegetables), 2012 (new Annex IV for Melons), 2013 (new Annex V for Berries), 2017.**

- Annex I – RTE fresh pre-cut fruits and vegetables
- Annex II – Sprout production
- Annex III – Fresh Leafy Vegetables
- Annex IV – Melons
- Annex V – Berries





# Useful Testing for Safety Management

All Values Are Scientific Advice Developed By The  
ICMSF And Have No “Official” Status

- Chapter 12 - Vegetables and Vegetable Products  
pg 147
- Chapter 13 – Fruits and Fruit Products  
pg 177

# Types of Microbiological Testing

- Routine
  - lot-by-lot, assess safety of lots, end-product or in process
- Verification
  - occasional, measure continuing effectiveness of controls
- Environmental
  - assess effectiveness of GAP and GHP program and potential for cross contamination
- Investigational
  - in response to failure or deviation, identify root cause
- Shelf-life
  - Validation of shelf-life and impact of factors affecting it; profile microbiological changes occurring in product during shelf-life of individual lots



# Products Covered

## Vegetables and Vegetable Products

- Fresh and Fresh-cut
- Cooked
- Frozen
- Canned
- Dried
- Fermented and Acidified
- Sprouted Seeds
- Mushrooms

## Fruits and Fruit Products

- Fresh Whole
- Fresh-Cut
- Frozen
- Canned
- Dried
- Tomatoes and tomato products
- Fruit Preserves

**\* Primary Production covered in both**



# Product Diversity

- “Vegetables”, “Fruits”, and “Produce” cover a huge range of foods and food products that vary from region to region
- All recommendations provided must be adapted to the specific fruits and vegetables being considered to account for differences in cultivation techniques, distribution and processing, end use, etc.







FOOD SAFETY AND STANDARDS  
AUTHORITY OF INDIA

Inspiring Trust, Assuring Safe & Nutritious Food  
Ministry of Health and Family Welfare, Government of India



# Primary Production



# Contamination Sources





# Types of Microbiological Testing

- Extent of contamination can be strongly influenced by primary production practices and conditions
  - Good Agricultural Practices
- Verification testing may be beneficial for higher-risk fresh produce (e.g., leafy greens, sprouts)
  - Pre-harvest testing
- At primary production a focus is verification of **water sources** and **soil amendments**, as well as investigational sampling



# Evaluating Risk Related to Production Water

Three main impact points for produce safety risks related to production water are:

1. Production water source and quality
  - Public water supply, ground water, surface water
  - Testing frequency and sampling location
2. Application method
  - Water that does not contact the harvestable portion
  - Water that contacts the harvestable portion of the crop
3. Timing of application
  - At planting or close to harvest



# Probability of Contamination



## Public Water Supply



Treated

## Ground Water



Deep Well

Shallow Well

## Surface Water



Open to Environment



# Method of Irrigation

- Overhead (sprinkler)
  - Higher risk: A direct water application method resulting in contact with produce
- Flood (surface, furrow)
  - May avoid direct contact with produce
  - Consider risk of contact with contaminated soil during harvest or from splash
- Drip (trickle, subsurface, micro, under canopy)
  - Lower risk: Produce generally not in direct contact (except root crops), reduces foliar diseases, improves water use efficiency







# Agricultural Water

Use	Importance	Hazard or Indicator	Testing method / Analytical Unit	n	c	m	M
Irrigation, RTE	High	<i>Escherichia coli</i>	ISO 9308-1 100 ml	3	1	10	10 <sup>2</sup>
Irrigation, non-RTE	Moderate	<i>E. coli</i>	ISO 9308-1 100 ml	3	1	10 <sup>2</sup>	10 <sup>3</sup>
Pesticides, cleaning, etc.	High	<i>E. coli</i>	ISO 9308-1 100 ml	5	0	Absence in 100 ml	NA

# Soil Amendments & Food Safety Risks

- Biological soil amendments, especially those that include untreated (raw) manure, pose significant microbial risks
  - This is also true of untreated human waste and improperly treated biosolids
- Synthetic (chemical) soil amendments can also impact food safety, if not prepared and applied properly
- Risks can be reduced by:
  - Selection of crop
  - Treatment
  - Application Timing
  - Application Method
  - Handling



# Composted Organic Soil Amendments (1)

Intended Use	Relative Importance	Hazard or Indicator	Testing Method/ Analytical Unit	n	c	m	M
Composted manures / Vegetables likely to be eaten raw	High	<i>Escherichia coli</i>	ISO 16649-2	5	2	10 <sup>2</sup> per g	10 <sup>4</sup> per g
		EHEC	ISO 16654 10g	5	0	Absence in 10g	NA
		<i>Salmonella</i>	ISO 6579 10g	5	0	Absence in 10g	NA
Pasteurized manures / Vegetables likely to be eaten raw	Moderate	<i>E. coli</i>	ISO 16649-2	5	2	10 <sup>2</sup> per g	10 <sup>4</sup> per g
		EHEC	ISO 16654 10g	5	0	Absence in 10 g	NA
		<i>Salmonella</i>	ISO 6579 10g	5	0	Absence in 10 g	NA

# Composted Organic Soil Amendments (2)

Intended Use	Relative Importance	Hazard or Indicator	Testing Method/ Analytical Unit	n	c	m	M
Composted manures / Vegetables not likely to be eaten raw	Low	<i>E. coli</i>	ISO 16649-2	5	2	10 <sup>3</sup> per g	10 <sup>5</sup> per g
		EHEC	ISO 16654 10g	5	0	Absence in 10 g	NA
		<i>Salmonella</i>	ISO 6579 10g	5	0	Absence in 10 g	NA
Pasteurized manures / Vegetables not likely to be eaten raw	Routine microbiological testing not recommended . Periodic testing to verify effectiveness of process may be beneficial.						



# Fresh and Fresh-cut



# Fresh and Fresh-cut

- Generally capable of supporting growth of bacteria and fungi
  - pH=4.5 to 7.0,  $a_w > 0.98$
- Allow survival of viruses and protozoa
- Minimal processing
- Cut surfaces and other routes of entry
- Often no cooking
- Temperatures and time for quality may be in range for microbial growth
- Enterobacteriaceae, coliforms, and fecal coliforms are part of the normal flora found on fresh produce, and these groups do not reflect the sanitary status of raw produce.

# Fresh and Fresh-cut

## Relative

## Importance

## Useful Testing

Critical Ingredients	Low	Rely on verification that GAPS were followed and verification testing at primary production and harvest
In process	High	Non-microbial testing of antimicrobial in wash water, flume water, etc for control of cross-contamination
Processing Environment	Medium	Periodic testing of food contact surfaces and processing environments to verify GMP and sanitization protocols
Shelf Life	Low	Validated through microbiological testing before initiation of a new product line and revalidated after any major change in process technologies





# Fresh and Fresh-cut

Relative  
Importance

Useful Testing

End Product (Fresh-cut Veg)

Routine microbiological testing is not recommended. Periodic testing for specific indicators may be useful for verifying process control and conducting trend analysis. Test for specific pathogens only when other data indicate high potential for contamination or process failure.

Microorganism	Testing Method / Analytical unit	Case	Sampling Plan and Limits				
			n	c	m	M	
Medium	<i>E. coli</i>	ISO 7251	6	5	1	10/g	100/g
Low	<i>Salmonella</i>	ISO 6579 25 g	12	20	0	Absence in 25 g	NA
Low	EHEC	ISO 16654 25 g	15	60	0	Absence in 25 g	NA
Low	<i>Listeria monocytogenes</i>	ISO 11290-1 25 g	NA (Codex)	5	0	Absence in 25 g	NA



# Fresh and Fresh-cut

Relative Importance		Useful Testing						
End Product  (Fresh-cut RTE fruit supporting growth)		Routine microbiological testing is not recommended. Testing may be warranted when information indicates a potential for contamination.						
		Microorganism	Testing Method / Analytical unit	Case	Sampling Plan and Limits			
					n	c	m	M
	Low	<i>Salmonella</i>	ISO 6579	12	20	0	0	NA
Low	<i>L. monocytogenes</i>	ISO 11290-1	-	5	0	0	NA	
(Fresh-cut RTE fruit no growth)	Low	<i>L. monocytogenes</i>	ISO 11290-2	-	5	0	0	NA

# Concluding thoughts

- Microbiological testing is integral part of produce safety programs; but must be used appropriately and pragmatically
- No food safety program can rely solely on microbiological testing
  - Prevention through production, preventing cross-contamination during packing and processing
- Most current criteria developed by expert elicitation
  - Vary depending on production practice, commodity, end use
- Enterobacteriaceae, coliforms, and fecal coliforms are part of the normal flora found on fresh produce, and these groups do not reflect the sanitary status of fresh produce.

